

2020/21 Audit Plan Update

| Audit Area | Service Area | Days | Priority |
|--|---------------------------------------|----------|------------|
| General ledger, assets & capital accounting - fixed asset module (20/21) | Finance & Human Resources | 20 | ALL |
| Treasury Management | Finance & Human Resources | 12 | ALL |
| Pension | Finance & Human Resources | 15 | ALL |
| Payroll/HR (20/21) | Finance & Human Resources | 25 | ALL |
| Council Tax/NNDR | Finance & Human Resources | 30 | ALL |
| Liquid Logic Finance Module //(Controc) | Finance & Human Resources | 35 | 1,2,4,6 |
| Making Tax digital | Finance & Human Resources | 12 | 2 |
| Pothole grant | Finance & Human Resources | 3 | 5 & 6 |
| Covid-19 | Finance & Human Resources | 25 | All |
| Transition - leaving care | Children's Safeguarding and Family Su | 12 | 1,3,4 |
| Special Guardianship | Children's Safeguarding and Family Su | 12 | 1,3,4 |
| Direct Payments | Children's Safeguarding and Family Su | 20 | 1,3,4,6 |
| Setting up home grant | Children's Safeguarding and Family Su | 12 | 1,3,4,7 |
| Controc | Children's Safeguarding and Family Su | as above | 1,2,4,6 |
| Together4children | Children's Safeguarding and Family Su | 25 | 1,4,6 |
| Safeguarding & The Care Act | Adult social care | 20 | 3,4,6 |
| Deputyship | Adult social care | 12 | 3,4,6 |
| Quality assurance framework | Adult social care | 10 | 3,4,6 |
| Direct payments | Adult social care | as above | 3,4,6 |
| Ensure legal Implementation of the Charging Policy | Adult social care | 7 | 3,4,6 |
| Controc | Adult social care | as above | 1,2,4,6 |
| Shared lives | Adult social care | 15 | 2,3,4,6 |
| Staff retention | Adult social care | 8 | 2 |
| Deferred payments | Adult social care | 15 | 4 |
| Deprivation of assets | Adult social care | 12 | 4, 6 |
| Preparing for adulthood | Adult social care | 12 | 1.3.4.6.,7 |
| Money Laundering | Policy & Governance | 10 | 2 |
| Single status | Policy & Governance | 5 | 2 |
| Controc | Policy & Governance | as above | 1,2,4,6 |
| CSE Enquiry | Policy & Governance | 10 | 1,4 |
| Risk Management | Policy & Governance | 10 | all |
| Troubled families grant | Policy & Governance | 12 | all |
| Workforce strategy | Policy & Governance | 25 | all |
| Business Continuity | Health & Well-Being | 8 | 2,4,6 |
| Children & Adult Transport | Health & Wellbeing | 12 | 1,3,4,6 |
| Schools (19 schools) | Education & Skills | 106 | 1,3,4 |

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|---|-----------------------------------|----------|-----------|
| Leisure Centres (ab Dab / OLC) | Community Customer & Commercial S | 26 | 4, 6 |
| IT Audits (7) | Community Customer & Commercial S | 47 | ALL |
| Housing Benefits & Local Council Tax Support | Community Customer & Commercial S | 20 | 4,6,7 |
| Corporate Complaints | Community Customer & Commercial S | 15 | 2 |
| Quality standards within the voluntary sector | Community Customer & Commercial S | 8 | all |
| Homlessness reduction act | Housing & Communities | 25 | 1,4,5,6,7 |
| Bus subsidy grant | Neighbourhood & Enforcement | 5 | 5, 7 |
| Balfour Beatty Contract | Neighbourhood & Enforcement | 4 | 2,5,6 |
| Ideverde contract | Neighbourhood & Enforcement | 4 | 2,5,6 |
| T&W 'Other Assets' | Neighbourhood & Enforcement | 8 | 2,5,7 |
| BIT | Property & Investment | 10 | 2,5,7 |
| T&W 'Other Assets' | Property & Investment | as above | 2,5,7 |

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|-----------------------------------|
| 68 audits on the plan |
| 16 complete |
| 10 in progress |
| 16 deferred + 16 schools deferred |

85% of plan actioned

Appendix 1

| Update |
|---------------------|
| |
| Complete |
| complete |
| In Progress |
| complete |
| |
| complete |
| |
| complete |
| complete |
| |
| |
| |
| complete |
| complete- as above |
| In Progress |
| |
| |
| defer |
| |
| |
| |
| defer |
| complete- as above |
| defer |
| defer |
| defer |
| defer |
| |
| |
| defer |
| defer |
| complete- as above |
| In Progress |
| defer |
| complete |
| defer |
| |
| In Progress |
| |
| defer |
| |
| 16 defer 3 complete |

monthly checks required

| |
|----------------------------|
| defer |
| 4 In Progress & 3 complete |
| complete |
| In Progress |
| defer |
| defer |
| complete |
| In Progress |
| |
| defer |
| defer |
| defer as above |