

2020/21 Audit Plan Update

Audit Area	Service Area	Days	Priority	Update
General ledger, assets & capital accounting - fixed asset module (20/21)	Finance & Human Resources	20	ALL	
Treasury Management	Finance & Human Resources	12	ALL	Complete
Pension	Finance & Human Resources	15	ALL	complete
Payroll/HR (20/21)	Finance & Human Resources	25	ALL	
Council Tax/NNDR	Finance & Human Resources	30	ALL	In Progress
Liquid Logic Finance Module / (Controc)	Finance & Human Resources	35	1,2,4,6	complete
Making Tax digital	Finance & Human Resources	12	2	
Pothole grant	Finance & Human Resources	3	5 & 6	complete
Covid-19	Finance & Human Resources	25	All	In Progress
Transition - leaving care	Children's Safeguarding and Family Sup	12	1,3,4	
Special Guardianship	Children's Safeguarding and Family Sup	12	1,3,4	
Direct Payments	Children's Safeguarding and Family Sup	20	1,3,4,6	
Setting up home grant	Children's Safeguarding and Family Sup	12	1,3,4,7	complete
Controc	Children's Safeguarding and Family Sup	as above	1,2,4,6	complete- as above
Together4children	Children's Safeguarding and Family Sup	25	1,4,6	
Safeguarding & The Care Act	Adult social care	20	3,4,6	
Deputyship	Adult social care	12	3,4,6	defer
Quality assurance framework	Adult social care	10	3,4,6	
Direct payments	Adult social care	as above	3,4,6	
Ensure legal Implementation of the Charging Policy	Adult social care	7	3,4,6	defer
Controc	Adult social care	as above	1,2,4,6	complete- as above
Shared lives	Adult social care	15	2,3,4,6	defer
Staff retention	Adult social care	8	2	defer
Deferred payments	Adult social care	15	4	defer
Deprivation of assets	Adult social care	12	4, 6	defer
Preparing for adulthood	Adult social care	12	1.3.4.6.,7	
Money Laundering	Policy & Governance	10	2	defer
Single status	Policy & Governance	5	2	
Controc	Policy & Governance	as above	1,2,4,6	complete- as above
CSE Enquiry	Policy & Governance	10	1,4	In Progress
Risk Management	Policy & Governance	10	all	defer
Troubled families grant	Policy & Governance	12	all	In Progress
Workforce strategy	Policy & Governance	25	all	defer
Business Continuity	Health & Well-Being	8	2,4,6	
Children & Adult Transport	Health & Wellbeing	12	1,3,4,6	defer
Schools (19 schools)	Education & Skills	106	1,3,4	16 defer 3 complete
Leisure Centres (ab Dab / OLC)	Community Customer & Commercial S	26	4, 6	
IT Audits	Community Customer & Commercial S	47	ALL	In Progress
Housing Benefits & Local Council Tax Support	Community Customer & Commercial S	20	4,6,7	In Progress
Corporate Complaints	Community Customer & Commercial S	15	2	In Progress
Quality standards within the voluntary sector	Community Customer & Commercial S	8	all	defer
Homelessness reduction act	Housing & Communities	25	1,4,5,6,7	defer
Bus subsidy grant	Neighbourhood & Enforcement	5	5, 7	complete
Balfour Beatty Contract	Neighbourhood & Enforcement	4	2,5,6	In Progress
Ideverde contract	Neighbourhood & Enforcement	4	2,5,6	
T&W 'Other Assets'	Neighbourhood & Enforcement	8	2,5,7	defer
BIT	Property & Investment	10	2,5,7	defer
T&W 'Other Assets'	Property & Investment	as above	2,5,7	defer as above

monthly checks required

62 audits on the plan
9 Complete
8 in progress
14 deferred & 16 schools

76% of plan actioned