

APPENDIX A - DRAFT INTERNAL AUDIT PLAN 2019/20

Audit Area	Service Area	Days	Priority	Risk rating
General ledger, assets & capital accounting - fixed asset module	Finance & Human Resources	20	ALL	H
Treasury Management	Finance & Human Resources	12	ALL	H
Pension	Finance & Human Resources	15	ALL	H
Payroll/HR	Finance & Human Resources	25	ALL	H
Council Tax/NNDR	Finance & Human Resources	30	ALL	H
Liquid Logic Finance Module /(Controc)	Finance & Human Resources	35	1,2,4,6	H
Making Tax digital	Finance & Human Resources	12	2	M
Local transport grant	Finance & Human Resources	3	5 & 6	M
Covid-19	Finance & Human Resources	25	All	H
Transition - leaving care	Children's Safeguarding and Family Support	12	1,3,4	M
Special Guardian ship	Children's Safeguarding and Family Support	12	1,3,4	M
Direct Payments	Children's Safeguarding and Family Support	20	1,3,4,6	H
Setting up home grant	Children's Safeguarding and Family Support	12	1,3,4,7	H
Controc	Children's Safeguarding and Family Support	as above	1,2,4,6	H
Together4children	Children's Safeguarding and Family Support	20	1,4,6	L
Safeguarding & The Care Act	Adult social care	20	3,4,6	H
Deputyship	Adult social care	12	3,4,6	M
Quality assurance framework	Adult social care	10	3,4,6	M
Direct payments	Adult social care	as above	3,4,6	H
Ensure legal Implementation of the Charging Policy	Adult social care	7	3,4,6	M
Controc	Adult social care	as above	1,2,4,6	H
Shared lives	Adult social care	15	2,3,4,6	M
Staff retention	Adult social care	8	2	M
Deferred payments	Adult social care	15	4	H
Depreivation of assets	Adult social care	12	4, 6	M
Preparing for adulthood	Adult social care	12	1.3.4.6.,7	M
Money Laundering	Policy & Governance	10	2	H
Single status	Policy & Governance	5	2	L
Controc	Policy & Governance	as above	1,2,4,6	H
CSE Enquiry	Policy & Governance	12	1,4	H
Risk Management	Policy & Governance	8	all	M
Troubled Familes Grant	Policy & Governance	12	all	M
Workforce strategy	Policy & Governance	25	all	M

